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# A theoretical investigation of one-dimensional lithium-bonded chain: enhanced first hyperpolarizability and little red-shift

Fang Ma · Dongsheng Bai · Hongliang Xu

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Abstract We present a theoretical investigation of the electric properties of two kinds of one-dimensional lithium bonded chains:  $(NC-Li)_n$  and  $(NC-CC-Li)_n$  (n=1-8). The resulting (NC-Li)<sub>n</sub> and (NC-CC-Li)<sub>n</sub> were found to exhibit enhanced first hyperpolarizabilities ( $\beta_0$ ) with increasing n, and a slight change in the absorption maximum wavelength  $\lambda_{max}$  at the crucial transition. Comparing with (NC-Li)<sub>n</sub>, (NC-CC-Li)<sub>n</sub> exhibited particularly drastically enhanced  $\beta_0$  values due to clearly enhanced coupled oscillators and double-degenerated charge transitions.  $\beta_0$  is known to be the microscopic origin of the second-order non-linear optical (NLO) property, and  $\lambda_{max}$ is an approximate measure of the transparency achievable, thus both are important indices of high-performance NLO molecules. Therefore, our investigations into onedimensional lithium bond chains will be beneficial to understanding the relationship between  $\beta_0$  and  $\lambda_{max}$ , thus aiding the design of one-dimensional NLO materials with excellent transparence-efficiency.

**Keywords** First hyperpolarizability · Lithium bond · Non-linear optical · Red-shift · Transparence-efficiency

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F. Ma ( $\boxtimes$ ) · D. Bai School of Chemistry and Materials Science, Huaibei Normal University, Huaibei 235000, China e-mail: mafangchem@foxmail.com

### H. Xu (🖂)

## Introduction

One-dimensional  $\pi$ -conjugated systems [1–10] have been investigated extensively in second-order nonlinear optical (NLO) materials design, because large molecular hyperpolarizability,  $\beta$ , can be obtained by optimizing donor/ acceptor moiety strengths and/or extending the  $\pi$ -conjugated path. However, there are some apparent drawbacks with onedimensional structural compounds. First, the key problem is the transparency-efficiency tradeoff. According to the twolevel model of Oudar and Chemla [11], the static first hyperpolarizability  $\beta_0$  is proportional to oscillator strength for the single transition multiplied by the third power of the absorption maximum wavelength  $\lambda_{max}^{3}$ , and  $\lambda_{max}$  is an approximate measure of the transparency achievable. Therefore, loss of transparency (the red-shift) is an unavoidable consequence of any increase in  $\beta$  [12]. Second, most onedimensional D- $\pi$ -A structural molecules are prone to form a centrosymmetric arrangement in the crystal due to dipoledipole intermolecular interactions, which leads to no NLO response. Therefore, the question of how to achieve better enhancement of  $\beta_0$  with little red-shift, and meanwhile avoid centrosymmetric arrangements, is important when developing high performance NLO materials.

Different strategies to limit the natural antiparallel dipolar interaction have been proposed, for example, the use of electric field poling [13]. Furthermore, significant NLO responses have also been observed in nondipolar octupolar molecules [14, 15], because the lack of a permanent dipole moment contributes to noncentrosymmetric arrangements. A third approach that can rule out of the formation of centrosymmetric arrangement is exploiting  $\wedge$ -shaped molecules [16–18] and helical/chiral compounds [19], owing to the large off-diagonal  $\beta$ -tensor component.

In recent years, the weak interaction of Li-bonds has attracted much attention, since Shigorin first suggested

Institute of Functional Material Chemistry Faculty of Chemistry, Northeast Normal University, Changchun 130024, China e-mail: hlxu@nenu.edu.cn

their possible existence in 1959 [20]. Ault and Pimental [21] subsequently provided experimental proof for the existence of a lithium bond in  $H_3N$ ...LiX (X = Cl and Br) complexes. Currently, the Li-bond is being investigated in many fields [22]. Because Li-bonds are much stronger than the corresponding H-bonds [23, 24], it seems reasonable to expect a direct linking of molecules via Li-bond interactions, much like hydrogen-bond-directed linking [25]. More importantly, linking in this way can limit the formation of centrosymmetric arrangements. Thus, we expect that a Li-bond linking strategy might achieve the purpose of a large enhancement of  $\beta_0$  with little red-shift.

Wu et al. [23] investigated the Li-bond dimer (NC-Li)<sub>2</sub>, which may be considered as the simplest one-dimensional Libond chain. However, they focused on the effect of Li-bond interactions on the first hyperpolarizability, and did not investigate the change rule of first hyperpolarizability with increasing *n*, or the absorption maximum wavelength in the crucial transition, both of which are important indices for bulk NLO materials with high transparency-efficiency. Therefore, investigating one-dimensional Li-bond chains (NC-Li)<sub>n</sub> (n=1-8,where *n* is the number of the monomer NC-Li) is a meaningful project in our attempt to understand noncentrommetric arrangements and enhanced first hyperpolarizbility with little red-shift.

To understand in depth the Li-bond chain effect on first hyperpolarizibility, we also investigated one-dimensional Li-bond chains (NC-CC-Li)<sub>n</sub>, which have an excess of -C=C- units, compared with the structure of  $(NC-Li)_n$ . Here, the NC-CC-Li monomer may be considered as the lithium salt of cyanoacetylene (NC-CC-H), which has been detected by spectroscopic methods [26, 27], and plays an important role in the prebiotic synthesis of amino acids, purines and pyrimidines [28].

Despite the enormous progress in research into onedimensional  $\pi$ -conjugated systems, and Li-bond effect on first hyperpolarizability, few attempts have been made to utilize these systems for constructing one-dimensional Libond chains. Therefore, in this study, we have designed two kinds of one-dimensional Li-bond chains (NC-Li)<sub>n</sub> and  $(NC-CC-Li)_n$  (n=1-8) theoretically. Our investigation found that the resulting (NC-Li)<sub>n</sub> and (NC-CC-Li)<sub>n</sub>

exhibit enhanced  $\beta_0$  with increasing *n*, and a slight change in the absorption maximum wavelength  $\lambda_{max}$  at the crucial transition. This is an important clue in the design of NLO materials, due to  $\beta_0 - \lambda_{max}$  relating to the transparenceefficiency of NLO materials. Compared with (NC-Li)<sub>n</sub>, (NC-CC-Li)<sub>n</sub> exhibited particularly drastically enhanced  $\beta_0$  values due to clearly enhanced coupled oscillators and double-degenerated charge transitions. Therefore, our investigation into one-dimensional Li-bond chains will be useful in the development of NLO materials.

## Computational methods

Two kinds of one-dimensional Li-bond chains (NC-Li)<sub>n</sub> and (NC-CC-Li)<sub>n</sub>(n=1-8) with all real frequencies were obtained at the second-order Møller-Plesset (MP2) theory with 6-31+G(d) basis set. Wu et al. [29, 30] found by using the coupled-cluster CCSD(T) method with CP corrections [23] that the most favorable geometry of NC-Li···NC-Li was the linear structure ( $C\infty V$ ). Natural bond orbital (NBO) charges were calculated and are listed in Table S1 and S2 in the supplementary material. The intramolecular interaction energy  $(E_{int})$  between  $(M)_1$ and (M)<sub>n-1</sub> (M=NC-Li or NC-CC-Li), was calculated at the MP2/6-31+G(d) level. We used the counterpoise (CP) [31] procedure to eliminate the basis set superposition error (BSSE) effect given by Eq. (1):

$$E_{int} = E_{(Mi)n-1} (X_{(M)n}) - E_{(M)n} (X_{(M)n}) - E_M (X_{(M)n})$$
(1)

where the same basis set  $X_{(M)n}$  was used for the subunit energy  $[E_{(M)1}$  and  $E_{(M)n-1}]$  calculation as for the energy of  $(M)_n [E_{(M)n}]$  calculation.

The (hyper)polarizabilities of (NC-Li)<sub>n</sub> and (NC-CC-Li)<sub>n</sub> were calculated using the finite-field (FF) method at the MP2/ 6-31+G(d) level. Different electric fields were applied to test the hyperpolarizabilities of (NC-Li)<sub>4</sub> and (NC-CC-Li)<sub>4</sub> From Table S3 and S4 in the supplementary material, there is clearly a plateau for the hyperpolarizabilities when the applied electric field (AEF) ranges from 0.0005 to 0.0015 a.u. Hereby, an AEF of 0.0010 a.u. was thought most suitable in the calculation of the hyperpolarizabilities. The



 Table 1
 C–Li and Li–N

 distances (Å) for (NC–CC–Li)<sub>n</sub>

n	1	2	3	4	5	6	7	8
C <sub>1</sub> -Li <sub>2</sub>	1.943	1.973	1.980	1.982	1.983	1.983	1.984	1.984
Li <sub>1</sub> -N <sub>2</sub>		1.991	1.977	1.974	1.973	1.973	1.973	1.973
C <sub>2</sub> -Li <sub>2</sub>		1.968	1.997	2.004	2.006	2.007	2.007	2.007
Li <sub>2</sub> -N <sub>3</sub>			1.977	1.961	1.958	1.957	1.956	1.956
C <sub>3</sub> -Li <sub>3</sub>			1.972	2.002	2.008	2.011	2.011	2.012
Li <sub>3</sub> -N <sub>4</sub>				1.973	1.958	1.954	1.953	1.952
C <sub>4</sub> -Li <sub>4</sub>				1.974	2.003	2.010	2.012	2.013
Li <sub>4</sub> -N <sub>5</sub>					1.972	1.956	1.953	1.952
C <sub>5</sub> -Li <sub>5</sub>					1.974	2.004	2.011	2.013
Li <sub>5</sub> -N <sub>6</sub>						1.972	1.956	1.953
C <sub>6</sub> -Li <sub>6</sub>						1.975	2.004	2.011
Li <sub>6</sub> -N <sub>7</sub>							1.972	1.956
C7-Li7							1.975	2.005
Li <sub>7</sub> -N <sub>8</sub>								1.972
C <sub>8</sub> -Li <sub>8</sub>								1.975

transition properties of  $(NC-Li)_n$  and  $(NC-CC-Li)_n$ , including the transition energy  $\Delta E$ , oscillator strength  $f_0$  and the difference in dipole moment  $\Delta \mu$  between the ground and the excited state, were calculated from the time-dependent (TD)-DFT approach using LC-BLYP [32] functionals, which perform well for charge transfer excitations by the configuration interaction singles (CIS) method [33] (see Tables S5, S6).

In the FF method, when a molecule is subjected to a static electric field, the energy (E) of the molecule is expressed as:

where  $E^0$  is the energy of the molecule in the absence of an electric field,  $\mu$  is the component of the dipole moment vector,  $\alpha$  is the linear polarizability tensor,  $\beta$  and  $\gamma$  are the first and second hyperpolarizability tensors, respectively, and i, j, and k label the x, y, and z components, respectively.

For a molecule, the average dipole moment ( $\mu_0$ ) and polarizability ( $\alpha_0$ ) are defined as follows:

$$\mu_0 = \left(\mu_x^2 + \mu_y^2 + \mu_z^2\right)^{1/2} \tag{3}$$

$$E(F) = E^{0} - \mu_{i}F_{i} - \frac{1}{2}\alpha_{ij}F_{i}F_{j} - \frac{1}{6}\beta_{ijk}F_{i}F_{j}F_{k} - \frac{1}{24}\gamma_{ijkl}F_{i}F_{j}F_{k}F_{l}\cdots$$
(2)

Table 2C-Li and Li-Ndistances (Å) for  $(NC-Li)_n$ 

$$\alpha_0 = \frac{1}{3} \left( \alpha_{xx} + \alpha_{yy} + \alpha_{zz} \right) \tag{4}$$

n	1	2	3	4	5	6	7	8
C <sub>1</sub> -Li <sub>2</sub>	1.945	1.982	1.994	1.997	1.999	1.999	2.000	2.000
Li <sub>1</sub> -N <sub>2</sub>		1.980	1.960	1.955	1.954	1.953	1.953	1.953
C <sub>2</sub> -Li <sub>2</sub>		1.981	2.018	2.029	2.032	2.034	2.035	2.035
Li <sub>2</sub> -N <sub>3</sub>			1.959	1.937	1.932	1.930	1.929	1.929
C <sub>3</sub> -Li <sub>3</sub>			1.990	2.027	2.037	2.041	2.042	2.043
Li <sub>3</sub> -N <sub>4</sub>				1.955	1.932	1.927	1.925	1.924
C <sub>4</sub> -Li <sub>4</sub>				1.994	2.031	2.041	2.044	2.045
Li <sub>4</sub> -N <sub>5</sub>					1.953	1.930	1.925	1.923
C5-Li5					1.995	2.032	2.042	2.045
Li <sub>5</sub> -N <sub>6</sub>						1.953	1.929	1.924
C <sub>6</sub> -Li <sub>6</sub>						1.996	2.033	2.042
Li <sub>6</sub> -N <sub>7</sub>							1.952	1.929
C7-Li7							1.996	2.033
Li <sub>7</sub> -N <sub>8</sub>								1.952
C8-Li8								1.996





The static first hyperpolarizability is noted as

$$\beta_0 = \left(\beta_x^2 + \beta_y^2 + \beta_z^2\right)^{1/2} \tag{5}$$

Where

$$\beta_i = \frac{3}{5} \left( \beta_{iii} + \beta_{ijj} + \beta_{ikk} \right), i, j, k = x, y, z$$

All calculations were performed using the GAUSSIAN 09 program package [34].

## **Results and discussion**

One dimensional Li-bond structures of (NC-CC-Li)\_n and (NC-Li)\_n  $% \left( \frac{1}{2} \right)_{n} = \left( \frac{1}{2} \right)_{n} \left( \frac{1}{2} \right)$ 

The unique characters of lithium bonds provide onedimensional Li-bond chains (NC-CC-Li)<sub>n</sub> and (NC- Li)<sub>n</sub>, as depicted Fig. 1. For the Li-bond form of C<sub>n</sub>-Li<sub>n</sub>...N<sub>n+1</sub>, striking changes in Cn-Lin and Lin-Nn+1 distances are found in  $(NC-CC-Li)_n$  and  $(NC-Li)_n$ , respectively. From the C-Li and Li-N distances listed in Tables 1 and 2, respectively, we observed that: (1) the Li<sub>1</sub>-N<sub>2</sub>, Li<sub>2</sub>-N<sub>3</sub>, Li<sub>3</sub>-N<sub>4</sub>, Li<sub>4</sub>-N<sub>5</sub>, Li<sub>5</sub>-N<sub>6</sub> and  $Li_6-N_7$  distances become shortened with increasing *n*, but when n is large enough, distances become stable. For example, in (NC-CC-Li)<sub>n</sub>, the Li<sub>1</sub>-N<sub>2</sub> distances are 1.991 (n=2), 1.977 (n=3), 1.974 (n=4), 1.973 (n=5), 1.973 (n=6), 1.973 Å (n=7) and 1.973 Å (n=8). (2)The C<sub>1</sub>-Li<sub>1</sub>, C<sub>2</sub>-Li<sub>2</sub>, C<sub>3</sub>-Li<sub>3</sub>, C<sub>4</sub>-Li<sub>4</sub>, C<sub>5</sub>-Li<sub>5</sub> C<sub>6</sub>-Li<sub>6</sub> and C<sub>7</sub>-Li<sub>7</sub> distances become longer with increasing n, but when n is large enough, their distances also reach stable values. For example, in (NC-CC-Li)<sub>n</sub>, the C<sub>1</sub>-Li<sub>1</sub> distances are 1.943 (n=1), 1.973 (n=2), 1.980 (n=3), 1.982 (n=4), 1.983 (n=5), 1.983 (n=6), 1.984 (n=7) and 1.984 Å (n=8). (3) In the same structure, C<sub>n</sub>-Li<sub>n</sub> with the longest bond distance and Li<sub>n</sub>-N<sub>n+1</sub> with the shortest bond distance are located in the middle of the one-dimensional structure. For example, in (NC-CC-Li)<sub>8</sub>, C<sub>n</sub>-Li<sub>n</sub> with the longest bond distance is C<sub>4</sub>-Li<sub>4</sub> and Li<sub>n</sub>-N<sub>n+1</sub> with the shortest bond distance Li<sub>4</sub>-N<sub>5</sub>. (4) We found that changes in C-Li and Li-N distances in (NC- Li)<sub>n</sub> are similar to those in (NC-CC-Li)<sub>n</sub>. Furthermore, comparing (NC-Li)<sub>n</sub> and (NC-CC-Li)<sub>n</sub>, it was found that the distance of C<sub>1</sub>-Li<sub>1</sub> in (NC-CC-Li)<sub>n</sub> is shorter than that of C<sub>1</sub>-Li<sub>1</sub> in (NC-Li)<sub>n</sub> for the same value of *n*. However, we found that the Li<sub>1</sub>-N<sub>2</sub> distance in (NC-CC-Li)<sub>n</sub> for the same *n*, which indicates that the Li<sub>1</sub>-N<sub>2</sub> bond in (NC-Li)<sub>n</sub> is stronger than the corresponding Li<sub>1</sub>-N<sub>2</sub> bond in (NC-CC-Li)<sub>n</sub>.

Because the interaction between NC-CC-Li and NC-Li monomers in the respective chains is electrostaticdriven [35, 36], we computed the electrostatic potentials to evaluate those chains. The isodensity plots of (NC-CC-Li)<sub>8</sub> and (NC-Li)<sub>8</sub> are shown in Fig. 2 (those of other chains are provided in Fig. S1 and S2). In addition, to demonstrate that Li-bonds are stronger than Hbonds, we also compared the electrostatic potentials on the molecular surfaces of NC-H with that of NC-Li, and of NC-CC-Li with NC-CC-H. Their isodensity plots are shown in Fig. 3. From Fig. 3, it can be found that Lisalts have a more negative electrostatic potential on the nitrogen atoms, and have a more positive electrostatic potential on the lithium atom. Furthermore, comparing the electrostatic potentials between (NC-CC-Li)<sub>8</sub> and



Fig 3 Comparison of the electrostatic potentials on NC-H with NC-Li, and NC-CC-Li with NC-CC-H (0.004 au electronic density contour)

**Table 3** Interaction energies  $E_{int}$  (kcal mol<sup>-1</sup>) and the HOMO– LUMO energy gaps (eV)

n	(NC-CC-I	Li) <sub>n</sub>			(NC-Li) <sub>n</sub>				
	$\overline{E_{\mathrm{int}}}$	НОМО	LUMO	H-L	$\overline{E_{\mathrm{int}}}$	НОМО	LUMO	H-L	
1		-9.357	-0.476	8.881		-10.534	-0.624	9.909	
2	26.178	-8.505	-1.256	7.249	31.493	-9.4482	-2.552	6.896	
3	30.053	-8.247	-1.452	6.795	37.803	-9.0681	-1.601	7.466	
4	31.091	-8.135	-1.542	6.593	39.599	-8.9052	-1.737	7.167	
5	31.473	-8.075	-1.593	6.482	40.322	-8.7966	-1.819	6.977	
6	31.568	-8.037	-1.626	6.411	40.672	-8.7423	-1.873	6.868	
7	31.748	-8.010	-1.651	6.359	40.866	-8.71515	-1.927	6.787	
8	31.803	-7.994	-1.667	6.327	40.984	-8.66085	-1.954	6.706	

 $(NC-Li)_8$ , we found that the electrostatic potential on the nitrogen atom is more negative in  $(NC-Li)_8$  than in  $(NC-CC-Li)_8$ , and that the electrostatic potential on the lithium atom is more positive in  $(NC-Li)_8$  than in  $(NC-CC-Li)_8$ . In  $(NC-CC-Li)_8$  and  $(NC-Li)_8$ , the positive to electrostatic potentials on the lithium atoms become larger from the left to right; meanwhile, the negative in

larger from the left to right; meanwhile, the negative electrostatic potentials on nitrogen atoms become larger from left to right. The same trend can be found in other chains (see Fig S1 and S2 in the supplementary material).

As mentioned above, the Li<sub>1</sub>-N<sub>2</sub> Li-bond in (NC-Li)<sub>n</sub> is stronger than corresponding Li<sub>1</sub>-N<sub>2</sub> Li-bond in (NC-CC-Li)<sub>n</sub>. Moreover, as shown in Tables 1 and 2, the Li<sub>1</sub>-N<sub>2</sub> distances exhibit the longest Li-bonds in (NC-CC-Li)<sub>n</sub> and (NC- Li)<sub>n</sub>, respectively. Thus, Li1-N2 should be the weakest bond, with the most likely rupture zones being  $Li_1-N_2$  bonds. Thus, we calculated the intramolecular interaction energy  $(E_{int})$  between (M)1 and (M)n-1 (M=NC-Li or NC-CC-Li) to evaluate the stabilities of those chains. As shown in Table 3, the  $E_{int}$  values of (NC-CC-Li)<sub>n</sub> range from 26.17 to 31.8 kcal mol<sup>-1</sup>, and those of (NC-Li)<sub>n</sub> range from 31.493 to 40.984 kcal mol<sup>-1</sup>, i.e., comparable to that of a moderate Li-bond. Moreover, the  $E_{\rm int}$  of (NC-CC-Li)<sub>n</sub> is smaller than that of the corresponding  $(NC-Li)_n$  (for the same *n*). From Table 1, it can be seen that  $E_{\text{int}}$  increases with increasing *n*, which hints that the larger the n, the more stable the Li-bond chains formed. Moreover, the

HOMO–LUMO energy gap is a useful quantity for examining chain stability. From Table 3, the HOMO–LUMO gaps of (NC-CC-Li)<sub>n</sub> range from 6.327 to 8.881 eV, and those of (NC-Li)<sub>n</sub> range from 6.706 to 9.909 eV, which are comparable to those of 7.58–10.58 eV for stable superalkali cations  $OM_3^+$ (M = Li, Na, K) [37]. Although the gaps decrease with increasing *n*, the difference-values become smaller and smaller. Therefore, we inferred that the gaps are still greater than 6 eV, even though *n* is large enough.

## Large first hyperpolarizability and small red-shift

The dipole moments  $\mu$ , polarizabilities  $\alpha$ , and first hyperpolarizabilities  $\beta$  of (NC-CC-Li)<sub>n</sub> and (NC-Li)<sub>n</sub> are calculated and listed in Tables 4 and 5. From Tables 4 and 5, we found that the  $\mu$  values of (NC-CC-Li)<sub>n</sub> and (NC-Li)<sub>n</sub> are quite large and increase with increasing *n*, while the increasing step of (NC-CC-Li)<sub>n</sub> is16 D, which is larger than that of (NC-Li)<sub>n</sub> (12 D). Generally speaking, the magnitude of the dipole moment is determined by the charge distribution in each structure. Thus, this suggests that the charge distribution in (NC-CC-Li)<sub>n</sub>, with a  $-C\equiv C-$  unit, is more favorable than that in (NC-Li)<sub>n</sub>. We found that the change in  $\alpha$  follows the same trend as the change in the results for  $\mu$ , while the increasing step of (NC-CC-Li)<sub>n</sub> is ~51 a.u., which is larger than that of (NC-Li)<sub>n</sub> (~20 a.u.).

**Table 4** First hyperpolarizabilities  $\beta_0$  (a.u.) and their components, polarizabilities  $\alpha$ (a.u.), dipole moments  $\mu$ (Debye), absorptions maximum wavelength  $\lambda_{max}$  (nm), transition energy  $\Delta E$ (eV) and oscillator strengths *f* for (NC-CC-Li)<sub>n</sub>

n	$\beta_{\rm xxz}$	$\beta_{\mathrm{yyz}}$	$\beta_{\rm zzz}$	$\beta_{\rm z}$	$\beta_0$	α	μ	$\lambda_{\max}$	$\Delta E$	f
1	-136	-136	-381	-391	391	46	10.9	184.68	6.713	0.656
2	-201	-201	186	-425	425	94	27.704	174.65	7.099	1.589
3	-264	-264	291	-335	335	145	44.163	173.97	7.126	1.850
4	-325	-325	309	-204	204	196	60.828	174.85	7.090	3.220
5	-386	-386	502	-58	58	247	77.551	175.51	7.064	4.483
6	-447	-447	610	95	95	298	94.308	176.01	7.044	5.916
7	-508	-507	717	255	255	350	111.092	176.39	7.029	7.276
8	-568	-567	824	417	417	401	127.844	176.66	7.018	8.655

## Table 5 First

hyperpolarizabilities  $\beta_0$  (a.u.) and their components, polarizabilities  $\alpha$ (a.u.), dipole moments  $\mu$ (Debye), absorptions maximum wavelength  $\lambda_{max}$  (nm), transition energy  $\Delta E(eV)$  and oscillator strengths *f* for (NC-Li)<sub>n</sub>

							J Mol N	Model (2014) 20:2532		
$\beta_{\rm xxz}$	$\beta_{\rm yyz}$	$\beta_{\rm zzz}$	$\beta_{\rm z}$	$\beta_0$	α	μ	$\lambda_{\max}$	$\Delta E$	f	
69	69	169	184	184	21	9.8	158.50	7.079	0.159	
83	83	205	222	222	41	22.1	175.17	7.078	0.047	
99	99	226	254	254	61	34.7	170.32	7.230	0.051	
113	112	240	279	279	82	47.5	169.78	7.303	0.051	
127	127	249	302	302	103	60.4	169.56	7.312	0.052	
89	89	261	327	327	124	73.3	169.44	7.317	0.052	
155	155	266	345	345	144	86.2	169.38	7.320	0.052	
169	168	274	366	366	165	99.0	169.34	7.322	0.052	

Importantly, one-dimensional Li-bond chains have an important effect on the mean first hyperpolarizability  $\beta_0$ , but the effects on  $\beta_0$  for (NC-CC-Li)<sub>n</sub> and (NC-Li)<sub>n</sub> are different. From Tables 4 and 5, it can be seen that the  $\beta_0$  values increase with increasing *n* for (NC-Li)<sub>n</sub>, while  $\beta_0$  values for (NC-CC-Li)<sub>n</sub> at first decrease, and then increase. In this study, we were concerned with the  $\beta_{xxz}$ ,  $\beta_{yyz}$  and  $\beta_{zzz}$  components in order to understand the change in  $\beta_0$ . These latter components are listed in Tables 4 and 5, and depicted in Fig. 4; we found that the tensors of  $\beta_{xxz}$  ( $\beta_{vvz}$ ) and  $\beta_{zzz}$  have the same signs for (NC-Li)<sub>n</sub>, while the tensors of  $\beta_{xxz}$  ( $\beta_{yyz}$ ) and  $\beta_{zzz}$  have opposite signs for (NC-CC-Li)<sub>n</sub>. For (NC-CC-Li)<sub>n</sub>, when  $n \le 5$ , the sum of the off-diagonal  $\beta_{xxz}$  and  $\beta_{yyz}$  is larger in absolute terms than the diagonal  $\beta_{zzz}$ , and when n > 5, we found the reverse situation that the diagonal  $\beta_{zzz}$  component became the dominant component as compared with the off-diagonal  $\beta_{xxz}$  and  $\beta_{vvz}$  component. In this situation, the charge distribution transition appears to be along the x- and y-axis, and the Li-bond effect is along the molecular axis (z-axis). Because (NC-CC- $Li)_n$  has an extra unit  $-C \equiv C$ -,  $(NC-CC-Li)_n$  has a charge distribution interaction with the corresponding (NC-CC-Li)<sub>n</sub>. Thus, (NC-CC-Li)<sub>n</sub> has large off-diagonal  $\beta_{xxz}$  and

2

3

4

Furthermore, the maximum absorption wavelength  $\lambda_{max}$  at the crucial transition is an approximated index of evaluating transparency properties in a given chromophore. But, according to the two-level model of Oudar and chemla [11, 38], the

 $\beta_0$  is proportional to oscillator strength for the single transition multiplied by the third power of the absorption maximum  $\lambda_{\max}^{3}$ , which shows that the  $\beta_0$  value is a strong function of  $\lambda_{\text{max}}$ . Therefore, the increase in  $\lambda_{\text{max}}$  (the red-shift) is an unavoidable consequence of any increase in  $\beta$ . However, for  $(NC-CC-Li)_n$  and  $(NC-Li)_n$ , we found that the  $\beta_0$  values were greatly enhanced but associated with only a small red-shift (see Tables 4, 5). To further understand this finding, we defined the relationship of  $\Delta \beta_{zzz} / \Delta \lambda_{max}$  (see Fig. 5) by replacing  $\beta_0$  with the tensor  $\beta_{zzz}$ , since both (NC-CC-Li)<sub>n</sub> and (NC- $Li)_n$  are one-dimensional Li-bond chains (the diagonal  $\beta_{zzz}$ tensor became the dominant tensor). From the results plotted in Fig. 5, we found that the change of  $\Delta \beta_{zzz} / \Delta \lambda_{max}$  with increasing n for (NC-CC-Li)<sub>n</sub> is very strong, that is to say, (NC-CC-Li)<sub>n</sub> can enhance first hyperpolarizability without too much red-shift. On the other hand, for (NC-Li)<sub>n</sub>, the change of  $\Delta \beta_{zzz} / \Delta \lambda_{max}$  is very weak due to the small change in  $\beta_{zzz}$ . However, both (NC-CC-Li)<sub>n</sub> and (NC-Li)<sub>n</sub> can enhance first hyperpolarizability without too much red-shift, similar to the (dicyanomethylene)pyran derivatives with  $C_{2V}$ symmetry reported by Moylan et al. [17].

Furthermore, from Fig. 5, the interesting relationship between  $\beta_0$  and  $\lambda_{max}$  suggests that (NC-CC-Li)<sub>n</sub> and (NC-Li)<sub>n</sub> have a unique advantage in moderating this apparent contradiction, that is, with increasing *n*, they can achieve large first hyperpolarizability with only a slight red-shift. For example,







Fig 5 The relationship of  $\beta_{zzz}/\lambda_{max} \sim n$ 

compared with other Li-bond systems, although the lithium salt of pyridazine Li-H<sub>3</sub>C<sub>4</sub>N<sub>2</sub> has a  $\beta_0$  value of 859 a.u., which is larger than that of (NC-CC-Li)<sub>8</sub> (417 a.u.), the  $\lambda_{max}$  of Li-H<sub>3</sub>C<sub>4</sub>N<sub>2</sub> (257 nm) is larger than that of (NC-CC-Li)<sub>8</sub> (176 nm). From the relationship of  $\Delta \beta_{zzz} / \Delta \lambda_{max} \sim n$ , we can qualitatively deduce that, when n=11, the  $\beta_0$  value of (NC-CC-Li)<sub>8</sub> would be close to that of Li-H<sub>3</sub>C<sub>4</sub>N<sub>2</sub> (859 a.u) [39], but its  $\lambda_{max}$  is only ~170 nm. Furthermore, the onedimensional multilithium salt Li<sub>5</sub>-[5]cyclacene has a  $\beta_0$  value of 7,938 a.u. [40] and a  $\lambda_{max}$  of ~483 nm. We also infer that, when n = -46, the  $\beta_0$  value of (NC-CC-Li)<sub>8</sub> would be close to that of Li<sub>5</sub>-[5]cyclacene (7,938 a.u.), but its  $\lambda_{max}$  is only  $\sim$ 185 nm, which is much smaller than that of (NC-CC-Li)<sub>8</sub> (~483 nm). Our deductions indicate that one-dimensional Libond chains can effectively moderate the contradiction of  $\beta_0$ and  $\lambda_{max}$ .

Double degenerated charge transition and strongly coupled oscillators

One-dimensional  $(NC-CC-Li)_n$  and  $(NC-Li)_n$  both have unusually enhanced first hyperpolarizability (see Tables 4,



n

Fig 7 Comparison of oscillator strengths, f

2

3

10

8

6

4

2

0

oscillator strengths f

5), which suggest that they have double degenerated charge transitions, respectively, each transition providing a contribution to  $\beta$  with the same sign. Accordingly, we calculated the crucial transitions between the ground state and the excited state. These are presented in sketch form in Fig. 6. Obviously, the transitions from each  $\pi_{2px}$  and  $\pi_{2py}$ orbital of the ground state to the excited state follow the same trend along the z-axis ( $C_{\infty}$  axis); therefore, double degenerated charge transitions contribute to the molecular hyperpolarizability  $\beta$  in an additive manner. However, for (NC-CC-Li)<sub>n</sub>, the crucial transitions locate primarily to the right end, regardless of n, while for (NC-Li)<sub>n</sub>, the crucial transitions locate primarily to the left end, also regardless of *n*. This difference arises from the difference of coupled oscillators. Figure 7 depicts the relationship between the coupled oscillators of  $(NC-CC-Li)_n$  and  $(NC-Li)_n$  and n. From Fig. 7, oscillator strengths of (NC-CC-Li)<sub>n</sub> increase with increasing n, while for the oscillator strengths of (NC- $Li)_n$ , there is not too much change going from 0.159 down to 0.052. We conclude that (NC-CC-Li)<sub>n</sub> have stronger  $\pi$ electron coupled interactions than the corresponding (NC- $CC-Li)_n$ , due to  $(NC-CC-Li)_n$  having more rich  $\pi$ 



Fig 6 Sketches of crucial transitions

8

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electrons. It is precisely because  $(NC-CC-Li)_n$  have hugely enhanced oscillator strengths that their first hyperpolarizabilities (especially for the tensor  $\beta_{zzz}$ ) exhibit such a large increase.

## Conclusions

A comparative study was carried out on two kinds of onedimensional Li-bond chains:  $(NC-Li)_n$  and  $(NC-CC-Li)_n$  (n=1–8). We found that both  $(NC-Li)_n$  and  $(NC-CC-Li)_n$  exhibit enhanced first hyperpolarizabilities with increasing n, and a slight change in the absorption maximum  $\lambda_{max}$  at crucial transitions. Compared with  $(NC-Li)_n$ ,  $(NC-CC-Li)_n$  exhibits particularly drastically enhanced  $\beta_0$  values, due to clearly enhanced coupled oscillators and double-degenerated charge transitions. A  $\Delta\beta_{zzz}/\Delta\lambda_{max}$  relationship was proposed to evaluate those NLO molecules, i.e.,  $(NC-Li)_n$  and  $(NC-CC-Li)_n$ , that can enhance first hyperpolarizability without too much red-shift. Therefore, the results of this study will help the development of high performance NLO materials.

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